



IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

3762

EXAMINER:


APPLICANT: ALAN K. SNELL

SERIAL NO.: 10/665,169

FILED: 9/18/2003

CONFRM. NO.:

FOR: PACKAGED DIAPER, RELATED  
ACCESSORIES AND PACKAGING SYSTEM

<p><b>CERTIFICATE OF MAILING</b> <b>UNDER 37 C.F.R. § 1.8</b></p> <p>DATE OF DEPOSIT: <u>1/13/04</u></p> <p>I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p> Clifton W. Thompson</p>
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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

☒ 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

☐ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

☐ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

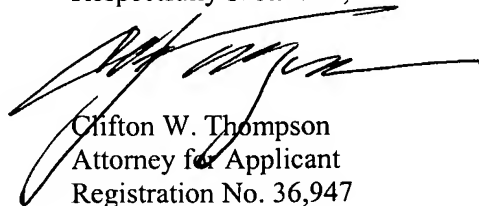
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 13<sup>th</sup> day of January, 2004.

Respectfully submitted,



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CWT:ja  
Enclosure



PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
21924.NPSERIAL NO.  
10/665,169

## LIST OF PRIOR ART CITED BY APPLICANT

APPLICANT Alan K. Snell

FILING DATE  
September 18, 2003GROUP  
\_\_\_\_\_

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	2,764,859	Oct. 2, 1956	N.K. Hanselmann			
	A2	2,834,459	May 13, 1958	C.I. Rickard			
	A3	3,537,226	Nov. 3, 1970	M.E. LeVan			
	A4	3,716,961	Feb. 20, 1973	P.E. Cope			
	A5	3,963,029	June 15, 1976	W.V.H. Brooks			
	A6	4,221,221	Sep. 9, 1980	J.L. Enrich			
	A7	4,556,146	Dec. 3, 1985	J.L. Swanson			
	A8	4,566,130	Jan. 21, 1986	F. Coates			
	A9	4,702,378	Oct. 27, 1987	H. Finkel			
	A10	4,735,316	Apr. 5, 1988	A. Froidh			
	A11	4,790,840	Dec. 14, 1988	C. Cortina			
	A12	4,808,175	Feb. 28, 1989	W.T. Hansen			
	A13	4,923,455	May 8, 1990	R.S. Dean			
	A14	4,934,535	Jun. 19, 1990	D.R. Muchenfuhs			
	A15	5,042,227	Aug. 27, 1991	W.M. Merry			
	A16	5,071,414	Dec. 10, 1991	D.P. Elliott			
	A17	5,261,531	Nov. 16, 1993	F.A. Neives			
	A18	5,377,837	Jan. 3, 1995	J. Roussel			
	A19	5,439,154	Aug. 8, 1995	A. Delligatti			
	A20	5,443,161	Aug. 22, 1995	D.R. Jonese			
	A21	5,638,661	Jun. 17, 1997	P.C. Banks			
	A22	5,702,379	Dec. 30, 1997	S. Preiss			
	A23	5,706,950	Jan. 13, 1998	D.L. Houghton			
	A24	5,722,774	Mar. 3, 1998	W. Hartz			
	A25	5,826,714	Oct. 27, 1998	M.A. Martin			
	A26	6,004,307	Dec. 21, 1999	R. Colon			
	A27	6,092,658	Jul. 25, 2000	M. Pietrafesa			
	A28	6,168,022	Jan. 2, 2001	A.H. Ward			



PTO-1449  LIST OF PRIOR ART CITED BY APPLICANT	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 21924.NP	SERIAL NO. 10/665,169
	APPLICANT Alan K. Snell			
	FILING DATE September 18, 2003		GROUP	

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	6,213,304	Apr. 10, 2001	T. Juliusen			
	B2	6,298,993	Oct. 9, 2001	M.E. Kalozdi			
	B3	6,500,160	Dec. 31, 2002	S. Mizutani			
	B4	6,528,766	Mar. 4, 2003	R.C. Parks			
	B5	6,540,084	Apr. 1, 2003	B. Silvers			
	B6	US2002/0056655	May 16, 2002	J.R. Cottingham			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
✓	B7	EP 0721886	July 17, 1996	Europe			
✓	B8	GB 2258206	Feb. 3, 1993	Great Britain			
✓	B9	GB 2238286	May 29, 1991	Great Britain			
✓	B10	DE 3923289	Oct. 4, 1990	Germany			
✓	B11	CH 671145	Aug. 15, 1989	Switzerland			
✓	B12	EP 0313689	May 3, 1989	Europe			
✓	B13	GB 2208287	May 22, 1989	Great Britain			
✓	B14	EP 0192417	Aug. 27, 1986	Europe			
✓	B15	FR 2583388	June 12, 1985	France			
✓	B16	JP 2002337814	Nov. 27, 2002	Japan			
✓	B17	JP 2001131003	May 15, 2001	Japan			
✓	B18	JP 2001114214	Apr. 24, 2001	Japan			
✓	B19	JP 2000333988	Dec. 5, 2000	Japan			
✓	B20	JP 2000043818	Feb. 15, 2000	Japan			



PTO-1449  LIST OF PRIOR ART CITED BY APPLICANT	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 21924.NP	SERIAL NO. 10/665,169
		APPLICANT Alan K. Snell	
		FILING DATE September 18, 2003	GROUP

## FOREIGN PATENT DOCUMENTS

✓	C1	JP 06007213	Jan. 18, 1994	Japan			
✓	C2	JP 05139473	Jun. 8, 1993	Japan			
✓	C3	JP 04339724	Nov. 26, 1992	Japan			
✓	C4	JP 03256848	Nov. 15, 1991	Japan			

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.	

**THORPE  
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January 13, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 10/665,169 filed Sept. 18, 2003  
Applicant: Alan K. Snell  
Title: **PACKAGED DIAPER, RELATED ACCESSORIES AND  
PACKAGING SYSTEM**  
Attorney Docket No. 21924.NP

Sir/Madam:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an  
Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§  
1.97 and 1.98:

- ☒ Form PTO-1449 list of 52 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the  
possession, custody, control or availability of anyone designated in 37 C.F.R. §  
1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

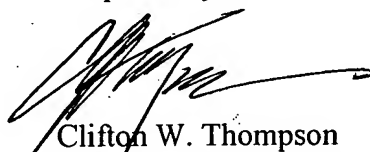
- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ☐ Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- ☐ Check No. \_\_\_\_\_ in the amount of \$0.00 (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Respectfully submitted,



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